

# In the United States Patent and Trademark Office

First/Sole Applicant WARREN F. NELSON  
Joint/Second Applicant NONE  
Title: Voice Activated-Automotive Window Display Unit

## Small Entity Declaration-Independent Inventor(s)

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code, to the patent and Trademark Office with regard to my above -identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed-and am under no obligation under any contract or law to assign, grant, convey, or license-any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or (b) any concern which would not qualify as either (I) a small business concern under 37 CFR 1.9 (d) or (ii) a nonprofit organization under 37 CFR 1.9 (e).

There is no such person, concern, or organization.  
 Any applicable person, concern , or organization is listed below:\*

Full Name: \_\_\_\_\_  
Address: \_\_\_\_\_

I acknowledge a duty to file, in the above application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(B)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief-are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Warren F. Nelson  
Signature of Sole/First Inventor  
**WARREN F. NELSON**  
Print Name of Sole/First Inventor  
1-11-02  
Date of Signature:

NONE - N/A  
Signature of Joint/Second Inventor  
\_\_\_\_\_  
Print Name of Second/Joint Inventor  
\_\_\_\_\_  
Date of Signature:

Please type a plus sign (+) inside this box →

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims or this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
|  |                                 |                                      |

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Customer Number  →  Place Customer Number Bar Code Label here  
**OR**  
 Registered practitioner(s) name/registration number listed below

| Name | Registration Number | Name | Registration Number |
|------|---------------------|------|---------------------|
|      |                     |      |                     |

Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:  Customer Number or Bar Code Label  OR  Correspondence address below

|         |                  |           |              |     |            |
|---------|------------------|-----------|--------------|-----|------------|
| Name    | Warren F. Nelson |           |              |     |            |
| Address | P.O. Box 2401    |           |              |     |            |
| Address |                  |           |              |     |            |
| City    | St. Paul         | State     | MN           | ZIP | 55102-0401 |
| Country | United States    | Telephone | 763-442-5950 |     | Fax N/A    |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

|                                      |   |       |                        |         |        |              |        |
|--------------------------------------|---|-------|------------------------|---------|--------|--------------|--------|
| Name of Sole or First Inventor:      | <input type="checkbox"/> A petition has been filed for this unsigned inventor |       |                        |         |        |              |        |
| Given Name (first and middle if any) |   |       | Family Name or Surname |         |        |              |        |
| Warren Fred Nelson                   |   |       | N/A                    |         |        |              |        |
| Inventor's Signature                 | <i>Warren F. Nelson</i>   |       |                        |         |        | Date 1-11-02 |        |
| Residence: City                      | St. Paul  | State | MN                     | Country | U.S.A. | Citizenship  | U.S.   |
| Post Office Address                  | P.O. Box 2401   |       |                        |         |        |              |        |
| Post Office Address                  |   |       |                        |         |        |              |        |
| City                                 | St. Paul  | State | MN                     | ZIP     | 55102  | Country      | U.S.A. |

Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box →

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing       Declaration Submitted after Initial Filing (surchARGE (37 CFR 1.16 (e)) required)

|                          |                  |
|--------------------------|------------------|
| Attorney Docket Number   |                  |
| First Named Inventor     | Warren F. Nelson |
| <b>COMPLETE IF KNOWN</b> |                  |
| Application Number       | /                |
| Filing Date              |                  |
| Group Art Unit           |                  |
| Examiner Name            |                  |

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Warren F. Nelson; P.O. Box 2401, St. Paul MN 55102-0401

the specification of which  
is attached hereto

(Title of the Invention)

Voice Activated Automotive  
Window Display Unit (VA-AWDU)

OR

was filed on (MM/DD/YYYY) [redacted] as United States Application Number or PCT International

Application Number [redacted] and was amended on (MM/DD/YYYY) [redacted] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed   | Certified Copy Attached?   |
|-------------------------------------|---------|----------------------------------|--|--|
| N/A                                 |         |                                  | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> |

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|--|
|                       |                          |  |

[Page 1 of 2]

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**In the United States Patent and Trademark Office**

Appn. Number:

Filing Date:

1-11-02

Applicant(s):

**WARREN F. NELSON**

Appn. Title:

Examiner:

Mailed

1-11-02

At:

11:00 AM

**Declaration in Support of Accompanying Petition to make Special  
Reason V – Enhancement of Environmental Quality**

In support of the accompanying Petition to make Special, applicant declares as follows:

1. I am the applicant in the above-identified patent application.
2. The invention of the above application will materially enhance the quality of environment of humankind by contributing to the reduction of Motor Vehicle Automobile Angle, and Rear End Collision accidents.
3. While my above description contains many specialties, these should not be construed as limitations on the scope of the invention, but rather as an exemplification of one preferred embodiment thereof. Many other variations are possible.
4. I further declare that all statements made herein of my own knowledge are true and that all statements made upon information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing therefrom.

Very respectfully,

  
Warren F. Nelson

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